



RAKESH KUMAR GUPTA
PRACTISING COMPANY SECRETARY

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SECRETARIAL COMPLIANCE REPORT of GENNEX LABORATORIES LIMITED
For the year ended 31-03-2022

I, Rakesh Kumar Gupta, Company Secretaries, Kolkata have examined:

- (a) all the documents and records made available to us and explanation provided by **GENNEX LABORATORIES LIMITED (CIN: L24230TG1990PLC011168)** ("the listed entity"),
- (b) the filings/ submissions made by the listed entity to the stock exchanges,
- (c) website of the listed entity,
- (a) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the year ended March 31, 2022 ("Review Period") in respect of compliance with the provisions of:

- (a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- (b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018; **Not Applicable during the review period.**
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; **Not Applicable during the review period.**



- (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014; **Not Applicable during the review period.**
- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; **Not Applicable during the review period.**
- (g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013; **Not Applicable during the review period.**
- (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- (i) The Securities and Exchange Board of India (Registrars to an Issue and Share Transfer Agents) Regulations, 1993.

and circulars/ guidelines issued thereunder;

and based on the above examination, we hereby report that, during the Review Period:

- (a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below:-
Nil
- (b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder in so far as it appears from our examination of those records.
- (c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:

#	Action taken by	Details of Violations	Details of action taken e.g. fines, warning letter, debarment, etc.	Observations/ remarks of the Practicing Company Secretary, if any
1	BSE Limited	Regulation 33 of SEBI LODR. Being the Company inadvertently failed to file Statement on impact of Audit Qualifications (standalone & consolidated) as per SEBI (LODR) Regulations, 2015 the company applied for waiver which was rejected by the BSE mail dated Thu, Nov 11,2021 at 02:23 P.M.	Imposed fine amount confirmation under SEBI LODR Reg.33 (Financial Results)with reference to circular SEBI/HO/CFD/CMD/C IR/P/2020/ - Rs.17,700/- BSE email dated: Thu, Nov 11,2021 at 02:23 P.M Total fine including GST Rs.17,700/-	The Company paid the fine imposed by BSE of Rs.17,700/- on 23 rd Nov,2021.

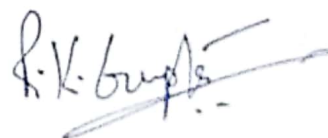


(d) The listed entity has taken the following actions to comply with the observations made in previous reports:

#	Observations of the Practicing Company Secretary in the previous reports	Observations made in the secretarial compliance report for the year ended (The years are to be mentioned)	Actions taken by the listed entity, if any	Comments of the Practicing Company Secretary on the actions taken by the listed entity
1.	Regulation 31 (2) of SEBI (LODR) Regulations, 2015 - 22.05% of Promoter shareholding is in dematerialized except 200 shares in physical form.		The company had dematerialized remaining 200 shares.	The company already dematerialized remaining 200 Physical shares into demat form.
2.	Regulation 17 & 17(1A) Being the Company falls under Top 2000 list of the Market Capitalization as per SEBI (LODR) Regulations, 2015 the company need to maintain of 6 (Six) Directors in the Board		The company applied for waiver.	The company applied for waiver and the same has been accepted by the exchange.

Place: Hyderabad
Date: 26.05.2022

For **Rakesh Kumar Gupta**
Company Secretaries



Rakesh Kumar Gupta
ACS:32018, CoP.15143
UDIN: A032018D000395205

